



Practitioner's Docket No.: PEK-IN 1022

#16/RCE 2812
6503/10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Achim Gratz
Applic. No. : 09/940,001 Group No. : 2812
Filed : August 27, 2001 Examiner : Richard A. Booth
For : Vertical Non-Volatile Semiconductor Memory Cell and Method for
Manufacturing the Memory Cell

MAIL STOP RCE
Commissioner for Patents,
Alexandria, VA 22313-1450

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REQUEST FOR CONTINUED EXAMINATION (RCE)
(37 C.F.R. 1.114)

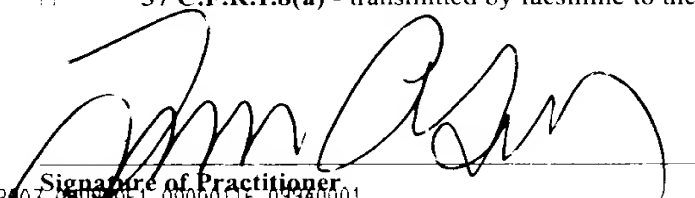
CERTIFICATION UNDER 37 C.F.R.1.8(a) and 1.10

*(When using Express Mail, the Express Mail label number is **mandatory**;
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

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Signature of Practitioner

LAURENCE A. GREENBERG
REG NO 29306

06/03/2003 AWQNDAP1 00000116 09940001

01 FC:1801 750.00 DP

Date: May 27, 2003

06/03/2003 AWQNDAP1 00000116 09940001

03 FC:1251 110.00 DP

1. Applicant hereby requests continued examination, in accordance with 37 C.F.R. § 1.114, for the above-identified application.

TIME REQUEST IS BEING MADE

2. This request is being submitted:
- i. ☒ Prior to abandonment of the application
 - ii. ☐ Payment of the issue fee
 - ☐ Prior to payment of the issue fee
 - ☐ Issue fee has been paid but a petition under § 1.313 has been granted
 - iii. ☐ Prior to a decision on appeal to the Board of Patent Appeals & Interferences
 - ☐ A notice is being separately sent to the Board of Patent Appeals & Interferences that this Request for Continued Examination is being filed.
 - iv. ☐ Appeal to the U.S. Court of Appeals of the Federal Circuit under 35 U.S.C. 145; or
 - ☐ Commencement of a civil action under 35 U.S.C. 146
 - ☐ Prior to the filing of such appeal or commencement of civil action
 - ☐ Such appeal or commencement of civil action has been terminated

SUBMISSION REQUIRED UNDER 37 C.F.R. § 1.114

3. a) Previously submitted
- ☒ Consider the amendment under 37 C.F.R. 1.116 previously filed on May 5, 2003.
(Any unentered amendments referred to above will be entered)
 - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously submitted on
 - ☐ Other
- b) Enclosed herewith is/are:
- ☐ An information disclosure (37 C.F.R. §1.98)
 - ☐ Form PTO-1449 (PTO/SB/08A and 08B)
 - ☐ An amendment
 - ☐ New arguments
 - ☐ New evidence in support of patentability
 - ☐ Other:

PAYMENT OF FEES DUE

8. Please pay the fees for this continued examination application as follows:
- ☒ Charge Credit Card the sum of \$860.00
(Credit Card Payment Form (PTO-2038) attached)

Please charge any required additional fees for § 1.17(e), § 1.16(b)-(d) and/or § 1.17(a)(1)-(4) to

- ☒ Account No. 12-1099 of Lerner and Greenberg, P.A.

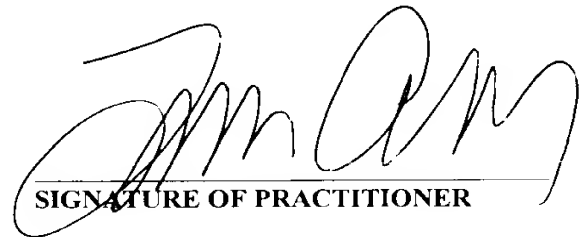
INVENTORSHIP

9. This application as amended names as inventors:
- ☒ the same inventors as previously designated for the claims.

10. Instructions as to Overpayment

☒ Credit Account No. 12-1099.

☐ Refund



SIGNATURE OF PRACTITIONER

LAURENCE A. GREENBERG
REG. NO. 29,308

Date: May 27, 2003

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